

FORM PTO-1390
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

211A 3855 PCT

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NUMBER

10/576431

INTERNATIONAL APPLICATION NO.
PCT/JP2004/015604INTERNATIONAL FILING DATE
21 October 2004PRIORITY DATE CLAIMED
21 October 2003TITLE OF INVENTION
OPTICAL INFORMATION RECORDING METHOD AND OPTICAL INFORMATION RECORDING MEDIUMAPPLICANT(S) FOR DO/EO/US
HIDEYOSHI HORIMAI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 19 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
18. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
19. ☒ Other items or information:
 - a. Copy of Form PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document)
 - b. Copy of Form PCT/IB/308 (second and Supplemental Notice)
 - c. Copy of Form PCT/ISA/210 (International Search Report)
 - d. Twelve (12) sheets of drawings

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 24pt; font-weight: bold;">10/576431</div>	INTERNATIONAL APPLICATION NUMBER <div style="text-align: center;">PCT/JP2004/015604</div>	ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">211A 3855 PCT</div>
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20. ☒ The following fees are submitted:

Basic national fee (37 CFR 1.492(a)).....\$300

Examination fee (37 CFR 1.492 (c))

If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0

All other situations.....\$200

Search Fee (37 CFR 1.492(b))

If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0

Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100

International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400

All other situations.....\$500

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 900

Surcharge of \$130 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	
Total claims	30[71]	20	51	\$ 50	\$2,550
Independent claims	5	3	2	\$ 200	\$ 400
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+	\$ 360
TOTAL OF ABOVE CALCULATIONS =					4,210

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL =	\$2,105
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Processing fee of \$130 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).

TOTAL NATIONAL FEE =	\$2,105
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Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property

TOTAL FEES ENCLOSED =	\$ 40
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TOTAL FEES ENCLOSED =

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	<div style="border: 1px solid black; padding: 2px;"> Amt. Refunded </div>
\$	<div style="border: 1px solid black; padding: 2px;"> Amt. charged </div>

a. ☒ Checks in the amounts of \$40 and \$2,105 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KODA & ANDROLIA

2029 Century Park East, Suite 1140

Los Angeles, CA 90067-2983

Dated: April 20, 2006

SIGNATURE

William L. Androlia

REG.NO. 27,177

Form PTO-1390 (REV 10-2003)

10/576431
IAP20 Rec'd PCT/PTO 20 APR 2006

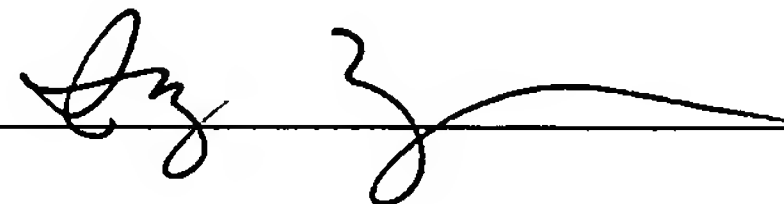
I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EV748244394US addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

on April 20, 2006, by Inja Yi.

Dated: 4/20/06

By: 

211A 3855 PCT

NAKAO

Applicant(s):

HIDEYOSHI HORIMAI

For:

OPTICAL INFORMATION RECORDING METHOD AND OPTICAL
INFORMATION RECORDING MEDIUM